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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
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| 09/895,001 | 06/28/2001 | 1743 | 0.00 | 55265 USA<br>7C.010 | 21 | 93 | 10 |
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26813

MUETING, RAASCH &amp; GEBHARDT, P.A.

P.O. BOX 581415

MINNEAPOLIS, MN 55401

IDS 9-28-01 D  
9moff 3-28-02  
11m 5-28-02

CONFIRMATION NO. 3649

## FILING RECEIPT



\*OC000000006460984\*

CDG

Date Mailed: 08/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/214,508 06/28/2000

WHICH IS A CIP OF 09/710,184 11/10/2000

AND

## Foreign Applications

If Required, Foreign Filing License Granted 08/22/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Sample processing devices and carriers

## Preliminary Class

422

RECEIVED

AUG 27 2001

MUETING &amp; RAASCH

Data entry by : ZEWDIE, BIZUAYEHU

Team : OIPE

Date: 08/22/2001

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

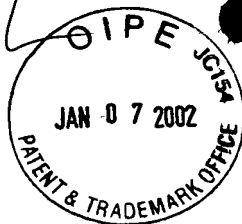
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



Sec for  
#3

Patent  
Docket No.: 55265US010

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

First Named Inventor: Harms, Michael R.

Filed: June 28, 2001

Group Art Unit: 1743

Serial No.: 09/895001

Examiner: To Be Assigned

For: Sample Processing Devices and Carriers

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

October 22, 2001  
Date

Michelle L. Murphy  
Signature: Michelle L. Murphy

***COMPLETION OF FILING REQUIREMENTS***

Box: MISSING PARTS  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

This is in response to the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION mailed on August 22, 2001. Part 2 of this form is enclosed.

No original declaration or oath was filed. An original declaration for this application is enclosed. The above-identified application is the application, which the inventors executed by signing the enclosed Declaration, Power of Attorney, and Petition.

At this time, please also charge the following fees to Deposit Account No. 13-3723:

Basic Filing Fee \$ 710.00

Claims over 20 (73 x \$18) 1314.00

Independent Claims over 3 (7 x \$80) 560.00

Total Filing and Claim Fees \$2584.00

Late filing fee (37 CFR 1.16(e)) 130.00

Total Fees to be Charged to Deposit Account No. 13-3723 \$2714.00

Enclosed is a photocopy of the filing receipt received from the United States Patent and Trademark Office for this application with noted corrections in red. Specifically, Domestic Priority data as claimed by application should read as follows:

THIS APPLN CLAIMS BENEFIT OF 60/214,508 06/28/2000

AND IS A CIP OF 09/710,184 11/10/2000

Please also add the Applicant(s) as shown on the attached documentation. Correction of the records at the United States Patent and Trademark Office and issuance of a corrected Filing Receipt are respectfully solicited.

Please also charge any additional fees or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked DUPLICATE is also enclosed.

Respectfully submitted,

October 22, 2001  
Date:

Christopher D. Gram  
By: Christopher D. Gram  
Registration No.: 43,643

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427  
Facsimile: 651 736-3833  
Telephone No.: 651-733-1507



## UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/895,001         | 06/28/2001          |                       | 55265 USA 7C.010       |

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CONFIRMATION NO. 3649

## FORMALITIES LETTER



\*OC000000006460985\*

Date Mailed: 08/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/10/2002 BABRAHA1 00000107 133723 09895001

FILED UNDER 37 CFR 1.53(b)

|           |            |
|-----------|------------|
| 01 FC:101 | 740.00 CH  |
| 02 FC:105 | 130.00 CH  |
| 03 FC:103 | 1314.00 CH |
| 04 FC:102 | 588.00 CH  |

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1874.
  - \$1314 for 73 total claims over 20.
  - \$560 for 7 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2714.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE